



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,814	03/23/2001	Jorg J. Goronzy	07039-251001

CONFIRMATION NO. 6147

FORMALITIES LETTER



\*OC000000006047910\*

MARK S. ELLINGER  
Fish & Richardson P.C., P.A.  
Suite 3300  
60 South Sixth Street  
Minneapolis, MN 55402

Date Mailed: 05/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing

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
date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECTOR

Attorney's Docket No.: 07039-251001

BOX SEQ



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jorg J. Goronzy et al.

Art Unit : 1645

Application No. : 09/816,814

Examiner : Unknown

Filed : March 23, 2001

Title : RHEUMATOID ARTHRITIS MARKERS

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed May 7, 2001 (copy enclosed), Applicants as a small entity submit herewith the following:

1. Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 (2 pages);
2. A Verified Statement Claiming Small Entity Status (1 page);
3. Transmittal of Formal Drawings (1 page);
4. Formal Drawings (2 pages);
5. Verified Statement Under 37 CFR §1.821(f) (1 page);
6. Paper copy of Sequence Listing (5 pages);
7. Computer Readable copy of Sequence Listing (1 disk);
8. Check in payment of \$65 surcharge for filing the declaration on a date later than the filing date of the application; and
9. Postcard

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 28, 2001

Date of Deposit

Signature

Jill Huso

Typed or Printed Name of Person Signing Certificate


Applicant : Jorg J. Goronzy et al.  
Serial No. : 09/816,814  
Filed : March 23, 2001  
Page : 2

Attorney's Docket No.: 07039-251001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: June 28, 2001

  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Jorg J. Goronzy et al.

Serial No. : 09/816,814

Filed : March 23, 2001

Title : RHEUMATOID ARTHRITIS MARKERS

Art Unit : 1645

Examiner : Unknown

Attention: Official Draftsman  
Commissioner for Patents  
Washington, D.C. 20231

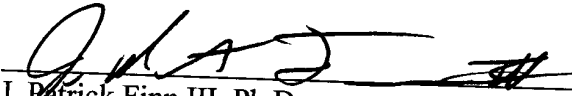
TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Parts of Nonprovisional Application mailed May 7, 2001, please substitute the enclosed 2 sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: June 28, 2001

  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

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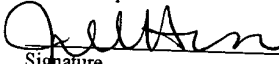
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